



#4  
PATENT  
H0003451

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sewa S. SANDHU et al.

Serial No.: 10/014,135

Filed: December 11, 2001

For: CABLE ASSEMBLY AND  
AIR OUTFLOW VALVE  
INCORPORATING THE SAME

Group Art Unit: Unknown

Examiner: Unknown

RECEIVED  
FEB 15 2002  
OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

Assistant Commissioner for Patents  
Box DAC  
Washington, D.C. 20231

**PETITION TO ACCORD CORRECT FILING DATE**

Sir:

This Petition to Accord Correct Filing Date is submitted in accordance with 37 C.F.R. § 1.10(c). In accordance with the requirements of 37 C.F.R. § 1.10 (c), Applicant submits the following arguments and evidence to show that there is a discrepancy between the filing date accorded by the U.S. Patent and Trademark Office ("USPTO") and the date of deposit as shown in the "date in" on a true copy of the Express Mail receipt received from the United States Postal Service ("USPS"). Specifically, 37 C.F.R. 1.10(c) includes the following three requirements for such a petition, each of which Applicant submits is fully complied with herein:

**37 C.F.R. § 1.10(c)(1) - Promptly Filed**

Applicant's representative filed the above-referenced non-provisional application by depositing the required application papers with the USPS on December 11, 2001, in accordance

RECEIVED AND DATE OF DEPOSIT RECEIVED

01 FC:122 130.00 CR

Adjustment date: 05/15/2002 AKELLEY  
02/12/2002 AMONDAF1 00000006 170055 10014135  
01 FC:122 130.00 CR

with the "Express Mail" procedure set forth in 37 C.F.R. § 1.10. A self-addressed return receipt postcard with proper pre-paid postage was included with the application papers. Applicant's representative subsequently received the return receipt postcard on December 27, 2001. The postcard included a bar code label evidencing proper receipt of the contents of the application papers by the USPTO. The bar code label further indicated that the application was assigned the above-noted Application Serial Number and a filing date of December 13, 2001. A copy of this return receipt postcard on a sheet on which the date it was received by Applicant's representative is entered thereon is enclosed as **Exhibit A**.

Thus, Applicant submits that December 27, 2001, is the date on which Applicant became aware that the Office accorded a filing date other than the USPS deposit date and, therefore, this petition is being promptly filed. Hence, Applicant submits that this petition meets the requirements of 37 C.F.R. § 1.10 (c)(1).

**37 C.F.R. 1.10 (c)(2) - Express Mail Number Placed on Papers**

Each of the application papers that accompanied the above-referenced non-provisional application had placed thereon the number of the "Express Mail" mailing label. Moreover, the person making the deposit certified the fact that the application papers were being deposited with the USPS on December 11, 2001, using the specified number. A copy of this certification and the cover sheet for the patent application are each enclosed as **Exhibit B**.

Hence, Applicant submits that this petition fulfills the requirements of 37 C.F.R. 1.10(c)(2).

37 C.F.R. 1.10(c)(3) - True Copy Showing "Date In"

According to this provision, the petition must include a true copy of the "Express Mail" mailing label showing the "date in." Submitted herewith, as **Exhibit C**, is a true copy of the Express Mail mailing label showing a "date in" of December 11, 2001, as the correct deposit date. It is additionally noted that the "Express Mail" mailing label includes a separate stamp placed thereon by the USPS indicating a deposit date of December 11, 2001.

By providing a true copy of the "Express Mail" mailing label, Applicant submits that this petition fulfills the requirements of 37 C.F.R. 1.10(c)(3).

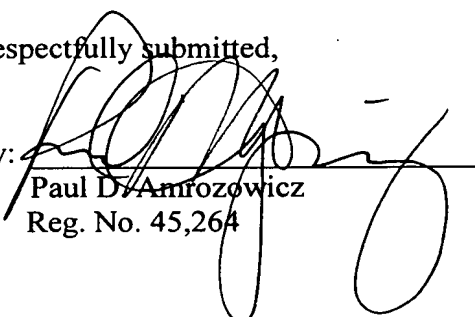
Conclusion

Applicant respectfully submits that each of the requirements of 37 C.F.R. 1.10(c) has been met, and therefore respectfully solicits the granting of this Petition to Accord Correct Filing Date. The Office is authorized to deduct the petition fee in the amount of \$130.00 from our deposit account 17-0055.

Dated: January 10, 2002

**Honeywell International, Inc.**  
Law Dept. AB2  
P.O. Box 2245  
Morristown, NJ 07962  
(602) 365-4877

Respectfully submitted,

By:   
Paul D. Amrozowicz  
Reg. No. 45,264

UTILITY APPLICATION

BY

SEWA SANDHU

AND

KENYON KEHL

FOR

UNITED STATES PATENT

ON

CABLE ASSEMBLY AND AIR OUTFLOW VALVE INCORPORATING THE  
SAME

Docket No.: H0003451  
Sheets of Drawings: Seven (7)  
EL645042421US

HONEYWELL INTERNATIONAL, INC.  
Law Dept. AB2  
P.O. Box 2245  
Morristown, New Jersey 07962

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
VIA EXPRESS MAIL NUMBER: EL645042421US

The following documents have been received:

Patent Application Transmittal Form and Fee Transmittal Form  
Specification (22 pages plus cover sheet); Drawings (7 sheets with labels)  
Declaration and Power(s) of Attorney  
Assignment and Recordation Form  
Form 1449 with 25 references  
Certificate of Mailing and Return Receipt Postcard

Applicant: Sewa S. Sandhu, et al. Mailing Date: 12/11/01

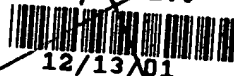
Docket No. H0003451

Application Title: Cable Assembly and Air Outflow Valve Incorporating the Same

Fees to be charged to deposit account: Code 101 = \$740.00; Code 581 = \$40.00;  
Code 103 = \$ 270.00; Code 102 = \$84.00; Total fees \$1134.00 for large entity.

PDA:dem (110381.90532)

JC832 U.S. PTO  
10/014135



12/13/01

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DEPT. OF COMMERCE  
PATENTS

JC832 U.S. PTO  
10/014135



12/11/01

QBSL IP Dept.

Received on 12/27/01 see

Docket for date stamp

110381,90532

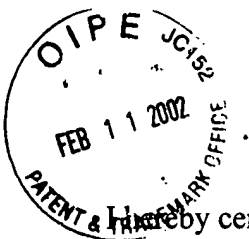
I hereby certify that this package is being deposited with the United States Postal Service on the date set forth below via Express Mail (#EL645042421US) addressed to: U.S. Patent and Trademark Office, Box Patent Applications, P.O. Box 2327, Arlington, VA 22202.

Date of Signature and Deposit: December 11, 2001

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FEB 15 2002  
OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

Euzie Shields

Euzie Shields



DAC \$

I hereby certify that this package is being deposited with the United States Postal Service on the date set forth below via First Class Mail addressed to: Assistant Commissioner for Patents, DAC, Washington, DC 20231.

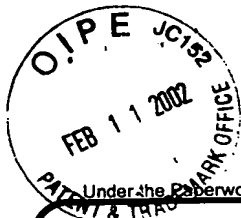
Date of Signature and Deposit: January 10, 2002.

D Mansfield  
Dawn Mansfield

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>5/13/02</u>		2 Serial/Patent # <u>10/014,135</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
	Filing			\$							
	Amendment			\$							
	Extension of Time			\$							
	Notice of Appeal/Appeal			\$							
<input checked="" type="checkbox"/>	Petition	4	2/11/02	\$ 130.00							
	Issue			\$							
	Cert of Correction/Terminal Disc.			\$							
	Maintenance			\$							
	Assignment			\$							
	Other			\$							
			7 TOTAL AMOUNT OF REFUND								
			\$ 130.00								
8 TO BE REFUNDED BY:											
10 REASON:		Treasury Check									
	Overpayment	<input checked="" type="checkbox"/>	Credit Deposit A/C #:								
	Duplicate Payment		9 <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">1</td> <td style="width: 20px;">7</td> <td style="width: 20px;">--</td> <td style="width: 20px;">0</td> <td style="width: 20px;">0</td> <td style="width: 20px;">5</td> <td style="width: 20px;">5</td> </tr> </table>		1	7	--	0	0	5	5
1	7	--	0	0	5	5					
<input checked="" type="checkbox"/>	No Fee Due (Explanation):										
Office Error											
11 REFUND REQUESTED BY:											
TYPED/PRINTED NAME: <u>Kenya McLaughlin</u>			TITLE: <u>Patents Acty.</u>								
SIGNATURE: <u>Kenya A. McLaughlin</u>			PHONE: <u>305-0010</u>								
OFFICE: <u>Office of Patents</u>											
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****											
APPROVED: <u>Alicia Kelly</u>			DATE: <u>5-15-02</u>								

*Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:*



PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

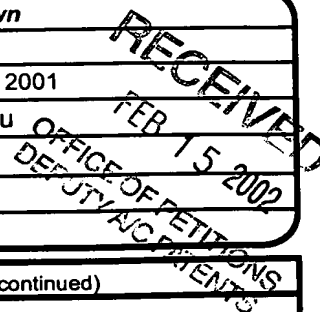
# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number 10/014,135  
Filing Date December 11, 2001  
First Named Inventor Sewa Sandhu  
Examiner Name  
Group Art Unit  
Attorney Docket No. H0003451



## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 17-0055  
Deposit Account Name Quarles & Brady LLP

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

## 2. Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  = 0.00  
Independent Claims  -3\*\* =  X  = 0.00  
Multiple Dependent Claims  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	130.00
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

Name (Print/Type) Paul D. Antozowicz  
Signature

Registration No. 45,264  
(Attorney/Agent)

## Complete (if applicable)

Telephone 602-230-5542  
Date 1/10/02

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.